

## Daniel Lucien BÜHR

Partner



### Admission

Switzerland, 1991

### Nationality

Swiss

### Languages

German

English

French

Italian

Dutch

Spanish

### Practices

Business Advisory

White Collar Crime

Investigations, Regulatory & Compliance

Litigation

Competition Law

### Areas of focus

Banking & Finance

Asset Recovery & Insolvency

Corporate & Commercial

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## BIOGRAPHY

Daniel Lucien Bühr joined LALIVE in 2011 and is based in our Zurich office. His main areas of practice are regulatory and banking law and white-collar crime and compliance, principally focusing on investigations, litigation, ESG reporting, governance and risk and compliance management. He also manages complex cross-border legal and compliance projects and monitors corporate compliance remediation projects.

Daniel L. Bühr is a founding member of the International Academy of Financial Crime Litigators (IAFCL) and a member of the European Board of the International Association of Independent Corporate Monitors (IAICM). Daniel L. Bühr is also a member of the Swiss Association for Standardization and a member of the Expert Committees on Compliance management systems and on Governance of organizations of the International Organization for Standardization (ISO). He is an accredited ISO Compliance Management System Auditor and co-founder and Honorary Chairman of Ethics and Compliance Switzerland (ECS).

Before joining LALIVE, Daniel L. Bühr was Regional Counsel for a Swiss multinational, responsible for all legal matters in Europe, Russia, the Near East and Africa (2006-2011). During this period, he served as member of the investment committee of Venture Incubator Ltd (a private equity fund of leading Swiss companies). He previously founded and managed a Swiss venture company (2004–2007) and served as Company Secretary and Legal Counsel of a global retail group (1993-2003).

Daniel L. Bühr holds a PhD, *summa cum laude*, from the University of Berne Institute for Swiss and Foreign Civil Procedure Law and MBA degrees from Columbia University, New York, and London Business School (EMBA Global Programme). He graduated from the University of Berne in 1991 (*magna cum laude*).

## PUBLICATIONS

### **Der Konzernverantwortungsbericht ist fällig**

In: Neue Zürcher Zeitung (NZZ), 3 October 2022

### **GIR - The Guide to Monitorships**

Simone Nadelhofer, Daniel Lucien Bühr, Katja Böttcher, Jonathon E. Boroski, 2022

In: Global Investigations Review (GIR), Edition 3, Chapter 10: Monitorships: The Swiss Perspective (p. 159), April 2022

<https://globalinvestigationsreview.com/guide/the-guide-monitorships/third-edition/article/monitorships-the-swiss-perspective>

### **Risk & Compliance Management 2022 in Switzerland**

Daniel Lucien Bühr, Marc Henzelin, Nicolas Ollivier, 2022

Lexology (online): Getting the Deal Through, Risk & Compliance Management 2022, 4 April 2022

<https://www.lexology.com/gtdt/tool/workareas/report/risk-and-compliance-management/chapter/switzerland>

### **Risk & Compliance Management 2022: Good governance of corporate oversight**

Daniel Lucien Bühr, 2022

Lexology (online): Getting the Deal Through, Risk & Compliance Management, 4 April 2022

<https://www.lexology.com/gtdt/workareas/risk-and-compliance-management/content/good-governance-of-corporate-oversight>

### **Good Governance von Aufsicht und Kontrolle im Unternehmen**

Daniel Lucien Bühr, 2022

In: Schweizerische Juristen-Zeitung Nr. 1, 2022/1, p. 7-17, 15 January 2022

<https://www.sjz.ch/de/artikel/2504-0650-2022-0007/good-governance-von-aufsicht-und-kontrolle-im-unternehmen?f%5B0%5D=&keys=Good%20Governance%20von%20Aufsicht%20und%20Kontrolle%20im%20Unternehmen&s%5Bref%5D=/de/search/content>

### **Does your organisational governance reflect your ESG ambitions?**

Daniel Lucien Bühr, Vivian Bertoluzzi, Michael Neumann, Warren Martin, 2021

Lexology (online), 7 December 2021

<https://www.lexology.com/library/detail.aspx?q=8a41c713-f119-48a3-a509-080cec55a2f6>

### **Daniel Bühr: Homburger Schulpräsident und internationaler Anwalt**

In: Thurgauer Zeitung, 17 November 2021

<https://www.tagblatt.ch/ostschweiz/frauenfeld/portraet-ich-laufe-nicht-bei-rot-ueber-die-strasse-warum-sich-der-jurist-und-homburger-schulpraesident-daniel-buehr-fuer-internationale-standards-einsetzt-ld.2212063?reduced=true>

### **Documentation of data processing activities under the revised Swiss Federal Act on Data Protection**

Simone Nadelhofer, Daniel Lucien Bühr, Tatiana Jullier, Warren Martin, 2021

LALIVE Blog, 25 June 2021

[www.lalive.law/wp-content/uploads/2021/07/Newsletter\\_Documentation-of-data-processing-activities.pdf](http://www.lalive.law/wp-content/uploads/2021/07/Newsletter_Documentation-of-data-processing-activities.pdf)

### **Swiss parliament amends the anti-money laundering act and (inadvertently?) introduces new compliance duties**

Daniel Lucien Bühr, Adam El-Hakim, Tabea Tsering Segessenmann, Vanessa Huber 2021

LALIVE Blog, 12 May 2021

[https://www.lalive.law/wp-content/uploads/2021/05/LALIVE\\_Swiss-AMLA\\_Revision-2021.pdf](https://www.lalive.law/wp-content/uploads/2021/05/LALIVE_Swiss-AMLA_Revision-2021.pdf)

## **Getting the Deal Through, Risk & Compliance Management 2021, Editor**

Daniel Lucien Bühr, 2021

Author: Global Overview

Author: Corporate compliance - Do DOJ policy and the ISO compliance standard overlap? p. 54

Co-Author: Swiss country chapter

[https://www.lalive.law/wp-content/uploads/2021/05/2021\\_risk\\_compliance\\_management\\_Global-Overview.pdf](https://www.lalive.law/wp-content/uploads/2021/05/2021_risk_compliance_management_Global-Overview.pdf)

## **Nach der Zahnbürste auch der Chef: Nun kommt die ISO-Norm für Manager**

In: NZZ am Sonntag, 18 April 2021, p. 27

[https://www.lalive.law/wp-content/uploads/2021/04/Seite\\_27\\_NZZ\\_am\\_Sonntag\\_2021-04-18.pdf](https://www.lalive.law/wp-content/uploads/2021/04/Seite_27_NZZ_am_Sonntag_2021-04-18.pdf)

## **Statistische Lücke (Geldwäsche)**

Daniel Lucien Bühr, 2021

In: Handelszeitung, 8 April 2021, p. 4

## **The US Anti-Money Laundering Act 2020 and its implications for Swiss banks**

Daniel Lucien Bühr, Adam El-Hakim, Tabea Tsering Segessenmann, 2021

LALIVE Blog, February 2021

<https://www.lalive.law/wp-content/uploads/2021/03/AMLA-DLB-AEH-TTS-1.pdf>

## **The role of managers must be questioned**

Daniel Lucien Bühr, 2020

In: Finanz und Wirtschaft, 12 December 2020, p. 8

## **Handelsbasierte Geldwäscherei am Beispiel von Gold (Trade-Based Money Laundering – The Example of Gold)**

Daniel Lucien Bühr, Adam El-Hakim, Tabea Tsering Segessenmann, 2020

Lucerne University of Applied Sciences and Art Blog, 5 October 2020

## **Governance und Kontrolle der Corona Kredite (Governance and control of Corona credits)**

Daniel Lucien Bühr, 2020

In: Handelszeitung, 25 June 2020

## **Whistleblower als Teil des Risikomanagements**

Daniel Lucien Bühr, 2020

In: Neue Zürcher Zeitung, 16 January 2020, p. 9

## **La Finma a trop peu de ressources**

Press coverage: Daniel Lucien Bühr interviewed on the status of governance and compliance in Switzerland

Daniel Lucien Bühr, 2019

In: Le Temps, 29 July 2019

## **Der elektronische Zahlungsverkehr, die Geldwäscherei und ihr neuer Meister: ISO Standard 20022 (Electronic payments, money-laundering and their new master: ISO Standard 20022)**

Daniel Bühr, Judit Ottrubay, 2019

Lucerne University of Applied Sciences and Art, Economic crime blog, 1 April 2019

## **Die Straf- und standesrechtliche Absicherung des Anwaltsgeheimnisses (Criminal and professional rules governing Swiss legal privilege)**

Daniel Lucien Bühr, 2019

In: Anwaltsgeheimnis, Helbling Lichtenhahn Verlag, pp. 133-140

## **Monitorships in Switzerland**

Simone Nadelhofer, Daniel Lucien Bühr, Katja Böttcher, Jonathon E. Boroski, 2019

In: The Guide to Monitorships, Global Investigation Review, pp. 117-123

## **Lexology, Anti-Corruption Regulation 2019**

Daniel Lucien Bühr, 2019

Author: Independent certification of compliance and anti-bribery management systems

Co-Author: Swiss country chapter

## **Lexology, Risk & Compliance Management 2019, Editor**

Daniel Lucien Bühr, 2019

Author: Global overview

Author: Do DOJ policy and the ISO compliance standard overlap?

Co-Author: Swiss country chapter

## **Do DOJ Policy and ISO Compliance Standard Overlap; and What Are the Pros and Cons For Applying the ISO Standard?**

Daniel Lucien Bühr, 2018

Program on Corporate Compliance and Enforcement (PCCE) of the New York University School of Law, 14 November 2018

## **Governance und Reputation der Schweiz (Switzerland's governance and reputation)**

Daniel Lucien Bühr, 2018

In: Neue Zürcher Zeitung, 20 December 2018

## **Selbstanzeige von Unternehmen in internationalen Wirtschaftsstraffällen (Corporate self-reporting in international white collar crime cases)**

Daniel Lucien Bühr, 2018

In: schulthess manager handbuch 2018/2019, Schulthess Management, pp. 179-185

## **Bei Raiffeisen stellt sich die Haftungsfrage**

Good governance is a challenge for Swiss companies like Raiffeisen, 15 June 2018

Daniel Lucien Bühr, 2018

In: Finanz und Wirtschaft, 16 June 2018

## **Good governance is a challenge for Swiss corporations like Raiffeisen**

Daniel Bühr, 2018

CNN Money Switzerland, 15 June 2018

## **Getting the Deal Through, Anti-Corruption Regulation 2018**

Daniel Lucien Bühr, 2018

Author: Introductory article 'Risk and compliance management systems'

Co-Author: Swiss country chapter

## **Compliance ist eine Kernaufgabe des Verwaltungsrates**

Daniel Lucien Bühr, 2018

In: Handelszeitung, 03 May 2018

## **Getting the Deal Through, Risk & Compliance Management 2018, Editor**

Daniel Lucien Bühr, 2018

Author: Do DOJ policy and the ISO compliance standard overlap?

Co-Author: Swiss country chapter

## **Getting the Deal Through, Anti-Corruption Regulation, 2018**

Daniel Lucien Bühr, 2018

Author: Country Report Switzerland

Co-Author: Swiss country chapter

## **Corporate criminal liability and self-reporting by undertakings in Switzerland**

Sophie Stählin, Daniel Lucien Bühr, 2017

Anti-Corruption Committee Update from the International Bar Association Legal Practice Division, Vol. 9, No. 3, October 2017, pp. 21-22

## **The International Investigations Review (Switzerland Chapter)**

Daniel Lucien Bühr, Marc Henzelin, 2017

In: N. Bourtin (Ed.), *The International Investigations Review*, 7th ed., Law Business Research: London, 2017, pp. 253-290

## **Getting the Deal Through, Risk & Compliance Management 2017, Editor**

Daniel Lucien Bühr, 2017

Author: Global Overview

Co-Author: Swiss country chapter

## **Sicherstellung wirksamer Compliance als strategische Führungsaufgabe (Effective Compliance as a Strategic Task of the Board)**

Daniel Lucien Bühr, Co-Author, 2017

Recht - Expert Focus 3/2017, pp. 156

## **Getting the Deal Through, Anti-Corruption Regulation 2017**

Daniel Lucien Bühr, 2017

Co-Author: Swiss country chapter

## **Compliance Management in einem KMU einführen**

(Implementation of a compliance management system in an SME)

Daniel Lucien Bühr, Claude Bolliger, Dr. Christian Zipper, 2017

In: MQ Management und Qualität, Issue 1-2/2017, pp. 16-18

## **Cross Border Compliance Standardisation – A Swiss NGO Perspective**

Daniel Lucien Bühr, 2017

In: Compliance Berater, 1-2/2017, pp. 22-23

## **ISO 19600 – Compliance Management Systems - A Commentary for Practitioners**

Daniel Lucien Bühr (Co-Editor and Co-Author), 2017

In: LexisNexis, C.H. Beck, Stämpfli Verlag AG

## **Governance der Rechtsfunktion im Unternehmen**

Daniel Lucien Bühr, Herbert Wohlmann, 2016

In: Robert Gallmann, Andreas Gersbach (Eds.), *Der Unternehmensjurist*, Schulthess Juristische Medien AG: Zurich, 2016: pp. 91-99

## **Vom Compliance-Programm zum Compliance-System**

Daniel Lucien Bühr, Claudia Seitz, 2016

In: Robert Gallmann, Andreas Gersbach (Eds.), *Der Unternehmensjurist*, Schulthess Juristische Medien AG: Zurich, 2016, pp. 204-214

## **Getting the Deal Through, Anti-Corruption in Switzerland 2016**

Daniel Lucien Bühr, Simone Nadelhofer, 2016

Co-Author: Anti-Corruption (Volume 3, Issue 6) November 2016, pp. 61-65

## **ISO 19600 on compliance management systems: how can it help organizations?**

Daniel Lucien Bühr, 2016

The ETHIC Intelligence Expert's Corner, Newsletter, November 2016

## **Comment devenir lanceur d'alerte ?**

Daniel Lucien Bühr, Renaud Vieux-Rochas, 2016

In: Bilan, Tamedia Publications romandes SA, 17 August 2016, pp. 44-45

## **The International Investigations Review (Switzerland)**

Daniel Lucien Bühr, Marc Henzelin, 2016

In: N. Bourtin (Ed.), *The International Investigations Review, 6th ed.*, Law Business Research: London, 2016, pp. 234-241

## **Commercial bribery an ex officio crime in Switzerland**

Daniel Lucien Bühr, Simone Nadelhofer, 2016

IBA Anti-Corruption Committee News, Vol. 8, No. 1, June 2016, pp. 28-29

## **Getting the Deal Through, Anti-Corruption Regulation 2016**

Daniel Lucien Bühr, 2016

Author: Risk and compliance management systems

Co-Author: Swiss country chapter

## **The International Investigations Review (Switzerland)**

Daniel Lucien Bühr, Marc Henzelin, 2015

In: N. Bourtin (Ed.), *The International Investigations Review, 5th ed.*, Law Business Research: London, 2015, pp. 308-316

## **Compliance-Herausforderungen in der Schweiz**

Daniel Lucien Bühr, 2015

In: Comply. Fachmagazin für Compliance-Verantwortliche, June 2015

## **La protection des lanceurs d'alerte est insuffisante**

Daniel Lucien Bühr, 2015

In: Le Temps, 6 May 2015

## **Focal Point: From Compliance Programme to Compliance Management Systems**

Daniel Lucien Bühr, Claudia Seitz, 2015

In: Journal of Business Compliance (Governance – Compliance – Ethics), 02/2015

## **Getting the Deal Through, Anti-Corruption Regulation 2015**

Daniel Lucien Bühr, 2015

Author: Compliance management systems

Co-Author: Swiss country chapter

## **From compliance programme to compliance management system: reaching the next level of effective compliance management**

Daniel Lucien Bühr, 2014

Criminal Law and Business Crime Newsletter, Newsletter of the International Bar Association Legal Practice Division, Volume 7 Number 1, April 2014, pp. 18-19

## **Top Five Governance Principles for the Corporate Legal Function**

Daniel Lucien Bühr, Herbert Wohlmann (Baker & McKenzie), 2013

[www.ethic-intelligence.com](http://www.ethic-intelligence.com), 15 July 2013

## **Best Practice Governance of the Corporate Legal Function (Governance der Rechtsfunktion im Unternehmen)**

Daniel Lucien Bühr, Herbert Wohlmann, 2012

In: GesKR / Gesellschafts- und Kapitalmarktrecht, 04/2012, pp. 559 - 568

## **Neuer Gesetze für Multinationale bedarf es nicht**

Daniel Lucien Bühr, Christian Stiefel, 2012

In: Neue Zürcher Zeitung, 17 September 2012

## **Дело компании Alstom Network Schweiz AG (The matter of Alstom Network Schweiz AG)**

Simone Nadelhofer, Daniel Lucien Bühr, 2012

Корпоративный юрист (Corporate lawyer) N°3, 2012, pp. 60-63, Москва

## **Swiss Subsidiary Fines for Inadequate Compliance Measures in Major Bribery Case**

Daniel Lucien Bühr, Simone Nadelhofer, 2012

International Enforcement Law Reporter, February 2012

## **Alstom Network Schweiz AG оштрафована за несоблюдение мер внутреннего контроля в деле о взяточничестве**

Simone Nadelhofer, Daniel Lucien Bühr 2012

Business & Baltija N°3 dated 5 January 2012

## **Swiss Attorney General Raises Compliance Standard for International Companies in Bribery Case**

Daniel Lucien Bühr, Simone Nadelhofer, 2012

International Anticorruption Committee Newsletter, January 2012

## **Five Fundamentals for Taking Compliance Management Seriously**

Daniel Lucien Bühr, 2011

In: *ACC Docket*, the monthly journal of the Association of Corporate Counsel, Washington D.C., January/February 2011, p. 39-47.

## **Mechanik und Kunst wirksamer Compliance**

Daniel Lucien Bühr, 2010

In: *Handbuch Compliance*, DDr. Alexander Petsche and Mag. Karin Mair (eds), Vienna 2010, p. 413-420.

## **Grundzüge eines wirksamen Compliance-Managements / Principles of Effective Compliance Management**

Daniel Lucien Bühr, 2010

Economie suisse, *dossierpolitik* Nr. 7, Zurich, April 2010

## **Der Richtige Weg zur Kartellbekämpfung / Effective Avoidance of Competition Law Infringements**

Daniel Lucien Bühr, 2009

Wirtschaftsblatt, Wien, 20 July 2009

## **Unternehmen im Wettbewerb – Evaluation des Kartellgesetzes / Undertakings and Competition Assessment of the Swiss Cartel Act**

Daniel Lucien Bühr, 2009

In: *Economie suisse*, Zurich, 2009

## CONFERENCES

### **Kisaco Research**

Economic Crime Prevention Switzerland |ESG & Compliance

Zurich, 5-6 April 2022

Moderator: Interview with Mr Juergen Boehler-Royett Marcano, Swiss State Secretariat of Economic Affairs

Speaker on ISO Standard 37000 – Governance of organizations

### **Europa Institut an der Universität Zürich**

2. Tagung zu Kontrollsystemen: ESG und wirksame Aufsicht und Kontrolle

Zurich and Live-Stream, 31 March 2022

Organizer/Moderator/Speaker on Governance Trends

### **TRACE**

2022 TRACE FORUM

Annapolis, 10 March 2022 (Live-Stream)

Speaker: Non-Financial Reporting under Swiss Law

[https://www.traceinternational.org/event-item/467/2022\\_TRACE\\_Forum](https://www.traceinternational.org/event-item/467/2022_TRACE_Forum)

### **Europa Institut an der Universität Zürich**

2. Conference on Control Systems – ESG and effective Oversight and Controls

Zurich, 31 March 2022

Moderator; Speaker: ESG – International Standards and Control Frameworks

### **Austrian Standards**

Ethics and Compliance Switzerland – Working Group Compliance Management Systems and ISO Standards

Webinar, 17 February 2022

Speaker: New ISO Standards: ISO 37000: Governance of Organizations / Oversight & Risk Governance and ISO 37002: Whistleblowing Management Systems

### **Netzwerk Risikomanagement**

49. Fachveranstaltung: Reputationsrisiken – sind diese steuerbar? – Reputational Risks – Can they be controlled?

Lucerne, 31 August 2021

Speaker: ISO 37000 – Governance of Organizations – Does the standard increase directors' liability?

### **Europa Institut an der Universität Zürich**

Conference on Control Systems – Control Systems, Control Breakdowns?

Online, 26 May 2021

Moderator and Speaker: International Alignment and Standardization of Internal Control Systems

### **Viadrina Compliance Center**

11th European Ethics, Compliance & Integrity Forum

26 November 2020

Speaker: Standardization of Compliance Management Systems as a Quality Guarantee?

### **C5**

Anti-Corruption Conference Switzerland

23 September 2020

Interview with keynote speaker Dr Olivier Bovet (Senior Advisor, State Secretariat of Economic Affairs)



## **Virtual Conference: Standardization in the Area of Governance and Compliance - Institute Compliance**

02 April 2020

Panellist: Update ISO 37301, ex. ISO 19600 - Compliance Management Systems

## **C5**

Anti-Corruption Conference London

London, 19 June 2019

Panellist: Multi-Jurisdictional Coordination in Action

## **C5**

Anti-Corruption Conference Switzerland

Zurich, 03-04 April 2019

Interview with Ambassador Stefan Estermann: The Swiss Confederation's Policy and Action Against Corruption

## **Network Risk Management**

Berne, 27 March 2019

Speaker: Risk- and Compliance Management, International developments in corporate governance

## **Zurich School of Management and Law in Winterthur**

3rd Conference on Compliance

Winterthur, 01 March 2019

Panellist: Reduction of sanctions through compliance systems: Experience from a professional association's point of view

## **GIR Live Zurich**

Zurich, 20 November 2018

Panellist: Beginning a cross-border investigation: coordination, strategy and who to hire

## **International Center for Collective Action – Basel Institute on Governance**

Anti-Corruption Collective Action: Evolution to Revolution

Basel, 12-14 November 2018

Panellist: From Islands of Integrity to Integrity Pacts – and Back Again?

## **International Bar Association IBA**

Central and Eastern Europe and Central Asia Anti-Corruption Enforcement and Compliance Conference

Prague, 12-13 November 2018

Panellist: Self-reporting – how can companies profit from self-reporting and cooperation?

## **Swiss GRC**

Swiss GRC Day 2018

Zurich, 29 October 2018

Speaker: Compliance Management in line with ISO 19600 and its Integration into risk- and anti-bribery management

## **Institute of Internal Auditors IAA Switzerland / Transparency International Schweiz**

Corruption in Undertakings and Institutions – Red Flags and Responsibility for the Avoidance of Corruption

Zurich, 18 September 2018

Speaker: Corruption in Undertakings and Institutions – Red Flags and Responsibility for the Avoidance of Corruption

## **Europa Institut at the University of Zurich (EIZ)**

Zurich, 04 May 2018

Speaker: Risk and Compliance Management – International Best Practice and Liability of the Board of Management

## **Corporate Counsel & Compliance Exchange**

London, 23-24 April 2018

Co-Moderator Round Table: Discovering Corruption At First Sight

## **C5**

12th International Conference on Anti-Corruption

Zurich, 20 March 2018

Moderator: The Evolving Anti-Corruption and Financial Crime Regulatory and Enforcement Landscape: International Cooperation and the Exchange of Information – Multi-jurisdictional Prosecution and Settlements

## **C5**

11th International Conference on Anti-Corruption

London, 28 June 2017

Speaker: Whistleblowing Systems: Reviewing and Assessing the Effectiveness of the Systems; Challenges in Implementation and What Other Reporting Channels to Use when the Systems Do not Work

## **Union Internationale des Avocats (UIA)**

New Trends in Liability of Senior Managers

Zurich, 7 April 2017

Panellist: Panel on Anti-Corruption Enforcement

## **C5/Basel Institute on Governance**

C5 Anti-Corruption Conference – Switzerland Edition

Zurich, 28 February-1 March 2017

Moderator Round Tables

- 1) The Evolving Anti-Corruption and Financial Crime Regulatory and Enforcement Landscape: Prosecution, Cooperation, Expectations and the Liability of Individual
- 2) Conducting Risk Assessments When Entering and Operating in Challenging Markets: What Dangers Do Multinationals Face?

## **European University Viadrina**

VI. Viadrina Compliance Congress 2016

Frankfurt (Oder), 6-7 July 2016

Panellist

## **Swiss Association for Standardization (SNV)**

Governance, Risk and Compliance Management in the public sector

Bern, 1 December 2015

Chair, speaker, moderator

## **25th Economic Forum in Krynica**

Compliance Management

Krynica, 9 September 2015

Panellist: Compliance as a key for successful business

## **Thomson Reuters Compliance Conversation**

Building a better future for compliance & risk

Zurich, 8 September 2015

Speaker and panellist: Managing Regulatory Complexity

## **Beck Akademie Seminare**

13. Petersberger Schiedstage 2015

Königsberg, 28 February 2015

Speaker: Company law disputes – The Swiss point of view

## **Swiss Association for Standardization (SNV)**

Effective Compliance, strong values and sustainable success

Kloten, 30 October 2014

Moderator and speaker: Presentation ISO 19600 – Compliance Management Systems; and:  
Particularities of compliance management at industrial firms

## **Handel Schweiz (Swiss Trade Association)**

Anti-trust compliance – Essentials of effective ethics and compliance codes – Presentation ISO 19600 –  
Compliance Management Systems

Olten, 24 October 2014

Speaker: Compliance Management Systems – What action needs to be taken

## **Swiss Association for Standardization (SNV)**

Effective Compliance, strong values and sustainable success

Bern, 18 September 2014

Moderator and speaker: Presentation ISO 19600 – Compliance Management Systems

## **LALIVE**

Legal and Compliance Functions: Effective Guardians of a Company's Reputation?

Zurich, 28 May 2013

Co-organiser, speaker and moderator: The General Counsel and the Partner-Guardian Tension

## **LALIVE**

The Enforcement of the Swiss Corporate Criminal Offense and its Impact on International Companies'  
Compliance Programmes

Zurich, 22 May 2012

Co-organiser and speaker: Mechanik und Kunst wirksamer Compliance

## **University of St. Gallen, Executive School of Management, Technology and Law (ES-HSG)**

Compliance Management Day 2011

Zurich, 27 October 2011

Speaker: Competition Law Compliance and Compliance Training

## **Swiss Occupational Insurance Agency (SUVA)**

Swiss Occupational Health and Safety Day 2011

Lucerne, 20 October 2011

Speaker: Maintenance – Only because the law demands it?

## **Law firm Lenz & Staehelin**

Client Seminar on internal investigations

Zurich, 15 September 2011

Panellist (together with the General Counsels of Panalpina, HSBC Switzerland and Adecco Group):  
Competition Investigations

## **C5 Forum**

Managing Competition Risk and Compliance

London, 14 October 2010

Speaker: Competition Damages Litigation – The In-house Counsel's Perspective

## **Ernst & Young**

3<sup>rd</sup> Chief Compliance Officer Roundtable

17 September 2010

Speaker: Effective Compliance Management – What resources are needed?

## **Association of Corporate Counsel**

Annual Conference 2010 on Strategic Risk Management

Vienna, 31 May 2010

Speaker: Useful tools for legal risk management; and: How to increase the legal department's efficiency – do more with less

## **Euroforum**

Compliance Fachtagung: Compliance zwischen Regulation und Reputation

Zurich, 9 September 2009

Speaker: Organisationsverantwortung des Unternehmens und persönliche Haftung der Mitarbeiter – Konzept und Umsetzung einer integralen Compliance-Regelung bei Schindler (Schindler Group's Compliance Concept – Diligent Compliance Management and Individual Responsibility)

## **Association of Corporate Counsel**

Annual Conference 2009

Geneva, 8 and 9 June 2009

Moderator/Speaker: Class Actions; and speaker: Competition Aspects in Stormy Waters

## **Zurich University of Applied Sciences**

First Atelier de la Concurrence

Winterthur, 5 May 2009

Speaker: From Fines to Jail – Haltung der Wirtschaft (Position of Swiss private sector)

## **Avenir Suisse**

Expertenworkshop zur Wettbewerbspolitik

Zurich, February 2009

Speaker: "Stellungnahme der Kommission für Wettbewerbsfragen von economiesuisse zum Kartellgesetz (economiesuisse's position regarding the revision of the Swiss Cartel Act)

## **Butterworths**

Cartel Risks Conference

London, 11 December 2008

Speaker: Fines – is there a need for reform?

## **C5 Conference - Avoiding Cartel Risks**

London, 16 and 17 September 2008

Moderator and Speaker: The Cartel Floodgates – Discussion on Record Fines for Cartel Behaviour

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## ACADEMIC POSITIONS

### **Lecturer**

University of Zurich – Europa Institut

CAS Compliance Management - Prevention and Promotion: Communication, training, consultation, responsible leadership

2020, 2021, 2022

## **Lecturer**

University of Liechtenstein  
Certification course Compliance Officers  
Introduction to organizational ethics and compliance; Introduction to the ISO Standard 19600 –  
Compliance management systems  
2019

## **Lecturer**

Lucerne University of Applied Sciences and Arts  
MAS/DAS Economic Crime Investigation  
CAS Governance, Risk and Compliance  
Compliance and Anti-bribery management systems – ISO 19600 (since 2022: ISO 37000) and ISO 37001  
2019, 2020, 2021, 2022

## **Lecturer**

Lucerne University of Applied Sciences and Arts / Swiss Police Institute  
CAS Financial Investigation  
Compliance, Anti-bribery law and corporate criminal law  
2019, 2020, 2021, 2022

## **Lecturer**

Lucerne University of Applied Sciences and Arts  
CAS Bank Operations & Compliance: Swiss banks' code of conduct (CDB), AML Act and Ordinances,  
Anti-bribery law  
2019, 2020, 2021, 2022

## **Lecturer**

University of Liechtenstein  
Certification course Compliance Officers  
Introduction to organizational ethics and compliance; Introduction to the ISO Standard 19600 –  
Compliance management systems  
2019, 2021

Lucerne University of Applied Sciences and Arts  
CAS Governance, Risk and Compliance  
2018

## **Lecturer**

Lucerne University of Applied Sciences and Arts  
MAS/DAS Economic Crime Investigation 15/17  
2018

## **Lecturer**

Lucerne University of Applied Sciences and Arts / Swiss Police Institute  
CAS Financial Investigation 09/17  
2018

## **Lecturer**

Lucerne University of Applied Sciences and Arts  
CAS Financial Investigation: Compliance, Corruption and Corporate Criminal Law  
2018

# LALIVE

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## **Lecturer**

Lucerne University of Applied Sciences and Arts

MAS/DAS Economic Crime Investigation: Best Practice Compliance Management: ISO Standards 19600 / 37001

2017

## **Lecturer**

University of Liechtenstein

Certification course Compliance Officer: Corporate Compliance I and II

Compliance-culture, ethic, values and integrity and ISO Standard 19600 - Compliance Management Systems

2017

## **Lecturer**

University of Liechtenstein

Certification course Compliance Officer: Corporate Compliance I

ISO Standard 19600 - Compliance Management Systems

2015

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